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Nicole Thorne
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Nicole Thorne
(SIGNATURE OF PERSON MAILING PAPER OR FEE)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: 5041-03-1

Anticipated Classification
of this application:
Class 407 - Subclass: 103.000
Prior Application: 08/632,347
Examiner: S. Bishop
Art Unit: 3209

Hon. Assistant Secretary and
Commissioner of Patents
and Trademarks
Washington, D. C. 20231

CONTINUATION-IN-PART APPLICATION UNDER 37 CFR 1.53

Transmitted herewith for filing is a continuation-in-part application of
prior application Serial No. 08/632,347, filed on April 10, 1996.

Title (as originally filed and as last amended): SMALL-SHANK TOOL FOR
AUTOMATIC LATHES

Name of applicant(s) (as originally filed): William R. Fountaine

Enclosed are:

24 Pages of specification 6 Pages of claims
1 Pages of Abstract 4 Sheets of drawing
formal
X informal

II. Declaration or Oath

X Attached
X an original
executed by (check all applicable items)
X inventor(s).
 legal representative of inventor(s) 37 CFR 1.42 or 1.43
 joint inventor or person showing a proprietary interest for
 inventor who refused to sign or cannot be reached. 37 CFR 1.47
 This is the petition required by 37 CFR 1.47 and the statement
required by 37 CFR 1.47 is also attached.
 Not attached
 Application is made by a person authorized under 37 CFR
1.41(c) on behalf of all of the above named applicant(s).
The declaration or oath, along with the surcharge
required by 37 CFR 1.16(e) can be filed subsequently.
 showing that the filing is authorized. Not required
unless called into question. 37 CFR 1.41(d)

III. Fee Calculation Amendments

 The fees to be charged are to be based on the number of claims
remaining as a result of the:
 attached preliminary amendment
 the unentered amendment filed under 37 CFR 1.116 in the
prior application, which is now repeated
 the claims as on file in the prior application

Basic Fee
Total Claims: 16 - 20 = 0
(Small \$11.00 Large \$22.00)

Small Entity \$ 395.00 Large Entity \$ 790.00

Independent
Claims: 1 - 3 = 0
(Small \$41.00 Large \$82.00)

Multiple dependent
claims: 0
(Small \$135.00 Large \$270.00)

Total \$395.00

The fee for extra claims is not being paid at this time.

IV. Small Entity Statement

☒ A verified statement that this is a filing by a small entity is attached.
The small entity statement was filed in the parent application Serial No. _____ on _____ and its benefit under 37 CFR 1.28(a) is hereby claimed.

V. Fee Payment

Not attached:

No filing fee is submitted. This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.

Attached:

☒ filing \$ 395.00
_____ recording assignment (\$40.00; 37 CFR 1.21(h)(i)). See item xi below \$ _____
_____ petition fee for filing by other than all the inventors or person not the inventor where inventor refused to sign or cannot be reached (\$130.00; 37 CFR 1.47 and 1.17(h)) \$ _____
_____ processing and retention fee (\$130.00; 37 CFR 1.53(d) and 1.21(l)) \$ _____

Total fees enclosed \$ 395.00

VI. Method of Payment of Fees

☒ attached is check in the amount of \$ 395.00
_____ charge Account No. 13-0235 in the amount of \$ _____
A duplicate of this request is attached.

Please charge any deficiency in the filing fee of this or any related copending applications to Deposit Account No. 13-0235. A duplicate of this request is attached.

VII. Authorization to Charge Additional Fees

☒ The Commissioner is hereby authorized to charge the following additional fees which may be required to Deposit Account No. 13-0235:
☒ 37 CFR 1.16 (filing fees)
☒ 37 CFR 1.16 (presentation of extra claims)
☒ 37 CFR 1.17 (application processing fees)

VIII. Instructions as to Overpayment

☒ credit Account No. 13-0235
_____ refund

IX. Priority - 35 U.S.C. 119

_____ priority of application Serial No. _____ filed on _____
_____ in _____ is claimed under 35 U.S.C. 119.
_____ The certified copy has been filed in prior application Serial No. _____ filed _____.

X. Relate Back - 35 U.S.C. 120

_____ Amend the specification by inserting before the first line of the sentence:
This is a continuation-in-part of co-pending application Serial No. _____ filed on _____.

_____ an assignment of the invention to _____ is attached.

____Address all future communications to:

____ Please abandon the prior application when the petition for extension of time in that application is granted and when this application is granted a filing date so as to make this application copending with said prior application.

_____ A conditional petition for extension of time is being filed in the pending parent application.

Mark D. Giarratana
Reg. No. 32,615
Attorney of Record

Abstract

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ATTACHMENT TO A PATENT APPLICATION

ENTITLED: SMALL-SHANK TOOL FOR AUTOMATIC LATHES

INVENTOR(S): William R. Fountaine

DOCKET NO.: 5041-03-1

INCLUDING: Transmittal Sheet

Specification

4 Sheets Informal Drawings (Figs. 1-12)

Declaration and Power of Attorney

Verified Statement Claiming Small-Entity Status

Check in the amount of \$ 395.00